

# TRANSFER ON DEATH (TOD)

Please do not use for IRA Accounts



## MAILING ADDRESS

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### 1. ACCOUNT INFORMATION

Fund/ Account Number \_\_\_\_\_  
Account Owner Name \_\_\_\_\_  
Social Security Number \_\_\_\_\_

Joint Account Owner Name \_\_\_\_\_  
Social Security Number \_\_\_\_\_

### 2. BENEFICIARY INFORMATION (please attach a page listing additional beneficiaries with their identifying information, if needed)

#### A. PRIMARY BENEFICIARY(IES) (failure to identify the percent allocable to each beneficiary will result in equal allocation among the beneficiaries)

Please add a Per Stirpes stipulation to all named individuals in my Primary Beneficiary Designation\*

#### BENEFICIARY #1

Name \_\_\_\_\_  
Social Security Number \_\_\_\_\_  
Birth Date \_\_\_\_\_ Percentage \_\_\_\_\_ %  
Relationship \_\_\_\_\_  
Address \_\_\_\_\_  
City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

#### BENEFICIARY #2

Name \_\_\_\_\_  
Social Security Number \_\_\_\_\_  
Birth Date \_\_\_\_\_ Percentage \_\_\_\_\_ %  
Relationship \_\_\_\_\_  
Address \_\_\_\_\_  
City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

#### BENEFICIARY #3

Name \_\_\_\_\_  
Social Security Number \_\_\_\_\_  
Birth Date \_\_\_\_\_ Percentage \_\_\_\_\_ %  
Relationship \_\_\_\_\_  
Address \_\_\_\_\_  
City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

#### BENEFICIARY #4

Name \_\_\_\_\_  
Social Security Number \_\_\_\_\_  
Birth Date \_\_\_\_\_ Percentage \_\_\_\_\_ %  
Relationship \_\_\_\_\_  
Address \_\_\_\_\_  
City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

#### B. CONTINGENT BENEFICIARY(IES) (failure to identify the percent allocable to each beneficiary will result in equal allocation among the beneficiaries)

Please add a Per Stirpes stipulation to all named individuals in my Primary Beneficiary Designation\*

\*If this box is checked, if any primary or contingent beneficiary, as applicable, does not survive the account owner, but leaves surviving descendants, then any share otherwise payable to such beneficiary shall instead be paid to such beneficiary's surviving descendants, by right of representation

#### BENEFICIARY #1

Name \_\_\_\_\_  
Social Security Number \_\_\_\_\_  
Birth Date \_\_\_\_\_ Percentage \_\_\_\_\_ %  
Relationship \_\_\_\_\_  
Address \_\_\_\_\_  
City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

#### BENEFICIARY #2

Name \_\_\_\_\_  
Social Security Number \_\_\_\_\_  
Birth Date \_\_\_\_\_ Percentage \_\_\_\_\_ %  
Relationship \_\_\_\_\_  
Address \_\_\_\_\_  
City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

**B. CONTINGENT BENEFICIARY(IES) (continued)**

<b>BENEFICIARY #3</b>		<b>BENEFICIARY #4</b>	
Name	_____	Name	_____
Social Security Number	_____	Social Security Number	_____
Birth Date	_____ Percentage _____ %	Birth Date	_____ Percentage _____ %
Relationship	_____	Relationship	_____
Address	_____	Address	_____
City _____ State _____ Zip _____		City _____ State _____ Zip _____	

**3. TERMS AND CONDITIONS OF TRANSFER ON DEATH (TOD) DESIGNATION**

- I. You, the shareowner, may designate one or more than one beneficiary of the TOD account. An UTMA or UGMA cannot be established as a TOD account. Beneficiaries are not “account owners” as the term is used herein. Weitz Funds must receive a Social Security Number (SSN) for each beneficiary in order to ensure that your account is transferred to the appropriate person(s) upon the death of the last surviving shareowner. If the necessary SSN(s) for your designated beneficiary(ies) have not been provided, please send this information to us so that we may complete your account information.
- II. You may designate primary and contingent beneficiaries on your TOD account. Primary Beneficiaries are the first to receive the account upon your death. Contingent Beneficiaries receive the account upon the death of the shareowner if there are no surviving primary beneficiaries. You do not have to designate any contingent beneficiaries.
- III. If an account owner wishes to have the share of the account intended for a beneficiary to pass to the children of the designated beneficiary in the event a designated beneficiary dies before distribution of the account, the account owners must elect to add “Per Stirpes” as an “optional designation.”
- IV. Minors may be beneficiaries of a TOD account only if a custodian, trustee, or guardian is set forth for the minor. By not providing a custodian, trustee or guardian, the shareowner is representing that all of the named beneficiaries have reached the age of majority and therefore are not minors.
- V. Beneficiaries have no rights to this account until your death or that of the last surviving joint owner. To distribute or transfer the account, a certified copy of the Death Certificate, signed guaranteed letter from the beneficiaries and a completed W-9 or application must be provided.
- VI. If more than one person is the owner of an account registered or to be registered TOD, the joint owners of the account must own the account as joint tenants with the rights of survivorship.
- VII. You may revoke or change a beneficiary designation only by completing a new “Transfer on Death” form signed by you and all of the joint owners of the account, if applicable.
- VIII. The persons set forth as the beneficiaries of your account shall remain the beneficiaries of the account and events subsequent to the registration of the account as a TOD account shall not change either the rights of the persons designated as beneficiaries or the status of the account, unless Weitz Funds is expressly instructed by you to change the status of the account or the beneficiary designation prior to your death. The beneficiary designation may not be revoked by you by the provisions of a Will or a codicil to a Will.
- IX. Dividends, interest, and other capital gains after your death
  - A. Accruals to your account which occur after your death, or the death of the last surviving joint owner still in the account when it is re-registered to the beneficiary(ies), stay with the account and pass to the beneficiary(ies).
  - B. If the account has been coded for cash distributions, and such distributions have been paid out prior to notice to Weitz Funds of your death, such distributions are deemed to be the property of your estate and do not pass with the account to the beneficiaries.
- X. TOD registrations may not be made irrevocable. The IRS does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

*By providing you with these rules, Weitz Funds is not giving any advice as to the legal effect of a TOD registration under applicable state laws governing inheritance and probate procedures. Since TOD directions do affect the disposition of your property at death, you should consult your attorney to make certain that the direction is consistent with your estate and tax planning.*

**X** \_\_\_\_\_  
Signature of Owner/Authorized Signer Date

**X** \_\_\_\_\_  
Signature of Owner/Authorized Signer Date